



New Jersey Turnpike Authority

1 TURNPIKE PLAZA - P.O. BOX 5042 - WOODBRIDGE, NEW JERSEY 07095
TELEPHONE (732) 750-5300

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March 31, 2023

To All Concerned:

Please be advised the "Contractor's Qualifying Statement" form has been revised to clarify direction to prospective prequalified contractors, and to streamline the prequalification review process by updating the form for past performance. The requirements for contractor prequalification are set forth in the New Jersey Turnpike Authority Regulations (adopted September 18, 2017) under Subchapter 19:9-2.7, titled "Procedures for prequalification and award of construction contracts."

In order to be eligible to bid on projects to be undertaken by this Authority that exceed \$50,000.00, you must prequalify in a classification annually, by submitting under oath, statements relating to your organization, prior experience, adequacy of plant and equipment, financial ability and moral integrity. For certain projects, prequalification with the New Jersey Department of the Treasury, Division of Property Management and Construction (NJDPMC - Notice of Classification) will be accepted in lieu of Authority prequalification. This prequalification requirement will be stated in the Advertisement for Proposal for the specific project.

The "Contractor's Qualifying Statement" form should be filed annually and must be received at least twenty-one calendar days prior to the date set for receipt of bids for a specific contract on which you desire to bid. **The Contractor's Qualifying Statement must be accompanied by certified audited financial statements or a CPA review of financial statements.** The Authority will review the statements and notify you by certified mail of your classification with respect to both class and dollar amount. This classification will be effective for one year. The classification will entitle you to submit a proposal on any contract classified and rated within your classification, except when the classification has been changed or revoked or you have been disqualified from bidding in accordance with said procedures.

Those firms requesting prequalification in the following classification are required to submit a copy of their State of New Jersey license:

10. Electrical Work, Highway

Please return the completed forms to:

NEW JERSEY TURNPIKE AUTHORITY
DAVID SIEGLER, CONTRACTS MANAGER
1 TURNPIKE PLAZA
P.O. BOX 5042
WOODBRIDGE, NEW JERSEY 07095

If there are any questions relating to these prequalification procedures, please email David Siegler at contracts@njta.com.

Very truly yours,

Michael Garofalo, P.E.
Chief Engineer



New Jersey Turnpike Authority

ENGINEERING DEPARTMENT

1 TURNPIKE PLAZA - P.O. BOX 5042 - WOODBRIDGE, NEW JERSEY 07095

Contractor's Qualifying Statement

This statement is submitted for: Original Application Renewal of Classification(s)

Check one: Sole Proprietorship Partnership Corporation Limited Liability Company

Applicant (Company Name) _____

Street Address _____

City _____ State _____ Zip Code _____

Application Prepared by _____

E-mail Address _____

Telephone Number _____

Applicant must be registered in CapEx and must have all primary contact information up-to-date. Authority bid and award correspondence will be addressed to the primary contact (i.e. an officer of the company). Refer to the Authority website for accessing CapEx. Contact contracts@njta.com with any questions.

Classification(s) Requested (checkmark for renewals, or write "NEW" if not previously granted the Classification):

- | | | |
|--|-------------------------------|------------------------------|
| _____ 1. Grading and Drainage | _____ 2. Paving | _____ 3. Resurfacing |
| _____ 4. General Construction, Highway | _____ 5. Bridge Structures | _____ 6. Bridge Deck Repairs |
| _____ 7. Concrete Maintenance | _____ 8. Heavy Highway | _____ 9. Landscaping |
| _____ 10. Electrical Work, Highway | _____ 11. Painting, Routine | _____ 12. Painting, Complex |
| _____ 13. Guide Rail | _____ 14. Signing | _____ 15. Fencing |
| _____ 16. Pavement Markings | _____ 17. Timber Construction | |
| _____ 18. Environmental Remediation Systems | | |
| _____ 19. Cleaning Manufactured Treatment Devices, Storm Drainage Pipe and Drainage Structures | | |

The Financial information, as verified by the accompanying audited or reviewed Financial Statements, is accurate as of _____ . A combined financial statement is not acceptable.

(Use end of month date)

(C) STATUS OF CONTRACTS ON HAND

Applicant shall complete all fields in "Contractor's Qualifying Statement Attachment 1 – Project Reference List", found on the Authority's website at <https://www.njta.com/doing-business/construction-and-maintenance-contracts> under "Information and Forms for Construction Contracts." **Print on 11x17 paper and append Attachment 1 following this page of the application.**

Instructions for Attachment 1:

1. List current projects and projects completed by your organization in recent years which indicate experience specific to the Classification(s) being requested. Note that Classification Rating(s) are determined based on work performed within the past three (3) years.
2. **DO NOT USE** NJDOT Form DC-74A.
3. Validate all contact reference information, which must include a name, job title (must be the owner or owner's representative, e.g. resident engineer), direct phone number and e-mail address.

If information is incorrect or missing, the Authority will contact the Applicant for corrected information. The application will be deemed incomplete until then. Unresponsiveness may result in denial of the application.

**(D) RECAPITULATION OF NET WORKING CAPITAL, NET BOOK VALUE OF
CONSTRUCTION EQUIPMENT AND AVAILABLE LINE OF CREDIT**

**(As presented in the accompanying CPA Audited
or Reviewed Financial Statements and Letter (s)
of Line of Credit from the Bank (s)**

1.	Total Current Assets	\$ _____
2.	Less: Total Current Liabilities	\$ _____
3.	Net Working Capital	\$ _____
4.	Net Book Value of Construction Equipment Owned (Each Piece of Construction Equipment must be Listed in the Foregoing Equipment Schedule Excluding Automobiles, Office Equipment, Etc.)	\$ _____
5.	Approved, Unsecured Line of Credit (Must not be dated prior to Financial Statement Date)	\$ _____
6.	Less: Portion of Line of Credit in use	\$ _____
7.	Available Line of Credit	\$ _____
8.	Potential Working Capital Determined by Addition of Item Nos. 3, 4 and 7 above)	\$ _____ _____

(E) BANK CREDIT

NOTE:

Credit letters may be submitted from your banks for the purpose of establishing your financial qualifications in determining your classification and rating. Such letters must, however, be specific as to amount. Letters previously submitted or already on file will not be considered in determining a new classification.

This page, properly executed, may be used as a bank credit letter.

If more than one bank credit letter is being submitted the second or subsequent letters must be in this form on regular bank letterheads. They should be attached to this page.

Name of Bank _____

Address _____

Date _____
(Not prior to that of the Qualifying Statement)

New Jersey Turnpike Authority
Woodbridge, New Jersey

A line of credit in the maximum amount of \$ _____ has been placed at the disposal of _____ for use when, as, and if needed throughout the twelve month period beginning _____, subject to the usual conditions, including the requirement that their financial and other conditions remain satisfactory.

None of the items listed as current assets in this statement now being submitted by _____ have been pledged to secure the line of credit mentioned above except as follows:

The line of credit mentioned above has been given with full knowledge of accommodations extended by other banks in amounts as follows:

During the past two years the maximum use made of lines of credit extended by this bank has been:

Should any termination, reduction or increase in this line of credit occur, we shall notify you within 10 business days thereafter.

As of this date of this Affidavit, \$ _____ of the maximum line of credit amount mentioned above is available for use by this organization.

AFFIDAVIT

STATE OF _____ }
COUNTY OF _____ } ss:

_____ Being duly sworn, deposes and says that he is _____ of the _____, the bank named in and which executed the foregoing statement.

(Bank officer sign here)

Sworn to before me this _____ day of _____, 20_____

(Notary Public)

The Construction Equipment Schedule and / or the Leased Equipment Schedule of NJDOT Form DC-74A may be substituted for this form.

(F) STATEMENT OF PLANT AND EQUIPMENT OWNED

GIVE COMPLETE DETAILS	QTY.	DESCRIPTION & CAPACITY OF ITEMS	AGE	PURCHASE PRICE	UNPAID BALANCE	PRESENT VALUE	CONDITION	
Are there any liens against the above? _____ If so, state total amount\$ _____								

Like Items of Like Capacity should be Lumped. In this instance, give the sum total of the age of the items.

(G) QUALIFYING AFFIDAVIT

STATE OF

SS:

COUNTY OF

I, _____, the _____
(Pres., Vice Pres., Owner or Partner)

of _____, being first duly sworn, deposes and says:
(Contractor)

1. That Contractor wishes to be prequalified to bid on New Jersey Turnpike Authority Construction Contracts classified under Classification(s) as indicated on page 1 of the Contractor’s Qualifying Statement.
2. That Contractor wishes to demonstrate moral integrity in accordance with the Procedure for Prequalification and Award on New Jersey Turnpike Authority Construction Contracts cited in N.J.A.C. 19:9-2.7, and any amendments and supplements thereto.
3. That in accordance with said procedures as of the date of signing this Affidavit, neither the Contractor, nor any of its Owners, Officers, or Directors are involved in any Federal, State or other Governmental investigation concerning criminal or quasi criminal violations, except as follows: **(IF NONE, CHECK THIS BOX)**
4. Deponent further states neither the Contractor, nor any of its Owners, Officers or Directors, has ever engaged in any violation of a Federal or State Criminal Statute; or ever been indicted, convicted, or entered a plea of guilty, non vult or nolo contendere to any violation of a Federal or State Criminal Statute; or ever engaged in violation of any nature regarding work on contracts performed by it, including but not limited to OSHA and NJDEP violations within the past seven (7) years, except as follows: **(IF NONE, CHECK THIS BOX)**
5. That any depository, vendor or other agency herein or later named is hereby authorized to supply the New Jersey Turnpike Authority with any information necessary to verify any statement made in this Contractor's Qualifying Statement.

Carefully read and complete all questions

- 6. That as of the date of signing this Affidavit, outstanding liens filed against this Contractor are as follows: **(IF NONE, CHECK THIS BOX)**

- 7. That the undersigned, being authorized to act on behalf of the Contractor, certifies that I am personally acquainted with the operations of said Contractor, have full knowledge of the factual basis comprising the contents of this Contractor's Qualifying Statement and that the same are true to my knowledge.

- 8. That if a corporation, the Contractor _____ **(is, is not)** incorporated in the State of New Jersey. If not a New Jersey Corporation, the Contractor _____ **(is, is not)** authorized to do business in the State of New Jersey. If not a corporation, please indicate if a partnership or sole proprietorship or limited liability company: _____ and the State of formation: _____ **(indicate State). (Attach copy of New Jersey Business Registration Certificate).**

- 9. That in accordance with said procedures as of the date of signing this Affidavit, the Contractor has not been disqualified from future bidding on any State of New Jersey project, including but not limited to, projects of any State Agency, the Turnpike Authority or any other independent authority, by reason of claiming its rights to withdraw a bid because of unilateral mistake, and has not been disqualified from future bidding on any State of New Jersey project, including but not limited to, projects of any State Agency, the Turnpike Authority or any other independent authority, for any other reason, except as follows: **(IF NONE, CHECK THIS BOX)**

- 10. That this Contractor's Qualifying Statement is made to induce the New Jersey Turnpike Authority to accept a prequalification and be permitted to bid on Contracts classified and rated under 1. above, knowing that the said New Jersey Turnpike Authority relies upon the truth of the statements herein contained.

Name of Contractor

By: _____
Signature Title

(Corporate Seal, if applicable)

Sworn and subscribed to before me this
____ day of _____ 20____

Notary Public

Carefully read and complete all questions