To:

ALL CONSULTANTS

Subject:

REQUEST FOR EXPRESSIONS OF INTEREST

ORDER FOR PROFESSIONAL SERVICES No. A3681

ON-CALL FACILITY ENGINEERING SERVICES

The New Jersey Turnpike Authority (Authority) invites Expressions of Interest (EOIs) for a Simple project from engineering firms prequalified and eligible in all the following Profile Codes:

Profile Code(s)	Description(s)
A060	Architecture: New Buildings
A061	Architecture: Renovations
A062	Building Mechanical Systems
A063	Building Electrical Systems
B159	Building Construction and Renovation
	Inspection
B151	Construction Management

Attached (see Section I) is a list of all consultants currently prequalified and eligible to submit an EOI for the above referenced assignment. Consultant teams and/or \*Joint Ventures (\*Firms interested in submitting an EOI as a Joint Venture must be prequalified as a Joint Venture with the Authority) that meet all of the Profile Code requirements are also eligible to submit an EOI.

To qualify as a prequalified consultant, a firm must have on file with the Authority a current "Professional Service Prequalification Questionnaire" (PSPQ) package prior to submission of the EOI. A current PSPQ is one that has been on file with the Authority for no more than 24 months, or in certain cases for no more than 12 months. Only those firms who have been prequalified for the specified profile code(s) this project entails will be considered. Pregualification is not required for subconsultants. Pregualification is required however for Joint Ventures.

The Authority shall be seeking participation of Small Business Enterprises (SBE) as subconsultants. The project goal is 25% SBE participation to New Jersey Businesses (see Section VI) of the Attachment to the Expression of Interest for the program provisions.

This solicitation is for professional services required to furnish on-call engineering services for a two (2) year term with an option for two (2) - one year extensions as authorized by, and in the sole discretion of the Authority.

#### **Project Description**

The Consultant will be required to provide a variety of task-oriented work assignments of various lengths and scope during the term of this Order for Professional Services (OPS), subject to the availability of funding. The OPS will be awarded in the amount of 2,000,000 for an initial two year term. The maximum allowable value of an individual work task assignment under the OPS is \$250,000.

The firm must possess overall experience in building renovations, building electrical and mechanical systems, building construction and renovation inspection and construction management. The firm must have a working knowledge of toll road engineering and toll road operations.

The services comprising each of the individual task assignments often will need to be performed on short notice, and completed within a short time frame. The consultant must be willing to devote the necessary resources to satisfy the Authority's needs in this regard.

#### **EOI Submission Requirements**

To be considered for these services, qualified firms or prequalified Joint Ventures need to submit 5 copies of their EOI, which must contain the following:

1. Letter of Interest not exceeding five (5) single-sided, letter-sized pages with minimum 1-inch borders and minimum font size of 10 pt., stating the firm's interest, ability and its commitment to complete the requested professional services listed in this solicitation and in their EOI.

The Letter of Interest shall summarize the following information:

#### a. Experience of the Firm on Similar Projects

The Firm shall provide information on past projects which they have performed that demonstrate similar service of those required for this assignment. Each project listed shall include a brief description of the project scope actually performed by the firm and its relevance to the proposed assignment. It shall identify the Firm's office(s) the work was performed from, the date (time frame) the services were performed, magnitude and cost of the project, and contact/reference information for each project listed.

#### b. Experience of the Project Manager on Similar Projects

The Firm shall identify the Project Manager that will be assigned to the project and identify the individual's education, credentials and work experience. The Firm should discuss the proposed Project Manager experience and its application to the assignment. The Firm shall review the criteria set forth by the Authority in the RFEOI/RFP in consideration of the person proposed for the assignment. If the Firm is proposing an individual with credentials considerably different than those identified by the Authority, the Firm must explain its rationale and identify/demonstrate the benefit the individual brings to the assignment.

The resume of the Project Manager or Resident Engineer proposed, included in the EOI or Technical Proposal, shall be clear, dated and detailed to the related assignment experience. References shall be furnished for each project listed (include date when work performed and relevance to subject assignment and at least one contact name and phone number for each project). Unless otherwise noted, the Project Manager shall be a licensed Professional Engineer.

#### c. Key Personnel's Qualifications and Relevant Experience

The Firm shall identify the Project Engineer and/or other key personnel that will be assigned to the project and their role and responsibilities specific to the assignment. Information concerning their education, credentials and work experience should be provided along with contact/reference information. The Firm

shall discuss the individuals proposed for the assignment and identify how their education, credentials and work experience are applicable to their role on the assignment.

The resumes of key personnel proposed, included in the EOI or Technical Proposal, shall be clear, dated and detailed to the related assignment experience. References shall be furnished for each project listed (include at least one contact name and phone number for each project).

#### d. Understanding of the Project and the Authority's Needs

Provide an explanation of the firm's understanding of the project and Authority's needs required for the successful completion of the assignment. Provide a summary of the firm's and its staff's qualifications, and state how they relate to the firm's ability to provide the requested services. Through attached organizational chart and resumes identify the person(s), or subconsultant(s), responsible for each division of the assignment and their relevant experience.

The Firm shall provide information to demonstrate that they fully understand the overall objective of the project and why the Authority is undertaking the assignment. This may include discussions providing background information on the need for the project, its affect on the Authority's facilities, and impact on the overall transportation network. Firms should demonstrate specific first-hand knowledge of the long term effects the project has on the Authority, its patrons, or other relevant issues.

#### e. Approach to the Project

The Firm shall identify the major tasks comprising the project and describe in detail how they will be accomplished. Provide an explanation of the process the firm will use to schedule, manage and perform the required tasks within the scope of services. The Firm shall identify key issues and potential problems and discuss alternatives and options which would lead to resolution, if applicable. The Firm should discuss innovative concepts with cost benefits and/or accelerated project delivery, where applicable. The Subconsultant roles, value to the team/project, and reporting relationship shall be clearly identified.

#### f. Commitment and Ability to Perform the Project and Outstanding Work with the Authority

An affirmation of the firm's commitment and ability to complete the proposed work as well as any outstanding work the firm currently has with the Authority. The Firm shall provide an explanation of the anticipated project schedule and demonstrate that the Firm can commit the required staff resources and management to perform the required services. A listing of the firm's facilities, including the address of the office where the project will be performed, and how they relate to the firm's ability to provide the requested services shall be provided.

The Firm shall discuss their outstanding work with the Authority as shown on the completed Disclosure Forms for the prime and all subconsultants. Information should be provided to demonstrate how this project may be impacted or affected by the existing work load of the consultant or its subconsultants. Outstanding Work shall be considered the sum of the Outstanding Work of the prime and subconsultants. No factors/weighting will be applied based on the percent of work assigned to the prime or subconsultants.

#### g. Commitment to Quality Management

The Firm shall provide a written narrative that describes the firm's quality assurance policy and how it intends to implement a quality assurance program <u>specifically</u> for this project. The Firm shall identify credentialed QA/QC staff and the roles and working relationship with other staff members as part of the design process.

#### h. Attainment of Small Business Enterprise (SBE) Participation Goals

The Authority has adopted a Small Business Enterprise Sub-Consultant's Program (the SBE Program). Under the SBE Program, firms interested in submitting an EOI agree to make a good faith effort to award at least twenty-five (25) percent of the assignment to those businesses that meet the requirements and have

been registered by the Division of Minority and Women Business Development/Department of the Treasury as a Small Business Enterprise.

Firms shall demonstrate how they will utilize SBE firms in order to achieve the 25% goal and add value to the project team.

- 2. An **organizational chart** showing key project team members for all primary tasks, including subconsultants. Provide all team members' names, titles and reporting relationships.
- 3. Resumes for the Project Manager and each Key Project team member, (a maximum total of 8) detailing relevant experience and professional/technical qualifications. Include resumes of proposed subconsultants. Each resume should be one page single-sided with dates provided for each project.
- 4. A completed Affidavit of Eligibility/Disclosure of Material Litigation (which is available on the Authority's website) form for review by the Authority's legal counsel. Forms for each firm, each member of a joint venture and all sub-consultants shall be submitted. Firm shall certify that it is not suspended, disbarred or disqualified from bidding on any state or federal projects. Furthermore, no litigation shall be pending or brought against the firm that could materially affect its ability to perform the OPS described herein. Firm shall submit a description of all litigation pending, threatened or brought against it, including any litigation against its owners and/or principals; and shall also submit a description of any enforcement actions or penalties pending or assessed by any regulatory agency having jurisdiction over permit compliance, worker health and safety, or labor laws, as these issues relate to performance of the OPS described herein.
- 5. A completed Disclosure Form Outstanding Work with the Authority (which is available on the Authority's website) stating all outstanding work with the Authority for both New Jersey Turnpike and Garden State Parkway projects. Forms for each firm, each member of a joint venture and all sub-consultants shall be submitted. State "none" on the form if firm, joint venture or sub-consultant has no outstanding work with the Authority. It is specifically noted that the Authority's Disclosure Form shall be submitted with the EOI. Consultants may separate types of work by category (i.e.: Design Services, Construction Services, Environmental Services, etc.) however, the "Total" amounts stated at the bottom of the page shall be the combined total amounts of all outstanding work with the Authority as identified on the form.
- 6. A completed **Certification of Staff Availability form** (which is available on the Authority's website) firm shall certify that the staff proposed in the EOI shall be used in the performance of the project. When proposing the same staffing in multiple EOIs, disclose one of the following:
  - A. A statement that all projects utilizing same staff will be completed on time and how this will be done, or
  - B. A statement that the firm voluntarily withdraws one of the EOIs from further consideration if the Authority is giving serious consideration to more than one EOI, or
  - C. Alternate staff resumes to be used by the Authority in evaluating EOIs if the Authority is giving serious consideration to more than one EOI.
- A completed SBE Form Proposed Schedule of Small Business Enterprise Participation (which is available on the Authority's website) form. Stating the firm's intention to use SBE Certified firms as subconsultants.
- 8. A completed Disclosure of Investment Activities in Iran form which is available on the Authority's website.
- 9. A completed Vendor Source Disclosure Form which is available on the Authority's website.
- 10. A completed **Ownership Disclosure Form**, pursuant to <u>N.J.S.A.</u> 52:25-24.2 which is available on the Authority's website.

EOIs that are incomplete may not be considered.

The NJTA has promulgated a Code of Ethical Standards pursuant to the laws of the State of New Jersey, a copy of which is available at: <a href="http://www.state.nj.us/turnpike/documents/code\_of\_ethics.pdf">http://www.state.nj.us/turnpike/documents/code\_of\_ethics.pdf</a>. By submitting an EOI, your firm will be subject to the intent and purpose of said Code and to the requirements of the Division on Ethical Standards of the State of New Jersey.

EOIs are limited to a total of thirteen (13) pages (single-sided), excluding a brief transmittal letter, the Affidavit of Eligibility/Disclosure of Material Litigation form, the Disclosure Form - Outstanding Work with the Authority, Commitments of Proposed Project Staff form, Certification of Staff Availability form, SBE form, Disclosure of Investment Activities in Iran form, Vendor Source Disclosure form, Organization Chart. Pages in excess of these requirements will not be considered. The information shall be presented in an organized fashion and shall be categorized in accordance with the preceding submission requirements. Foldout sheets will not be permitted. This page limitation shall be increased to a maximum of eighteen (18) pages, if the Consultant must exercise option 6C above. The additional five (5) single-sided letter-sized pages shall include information for alternate staffing as follows:

- An alternate Organizational Chart as permitted above showing key personnel names, position, title and reporting relationships (Note: Organizational Chart is not included in the page count).
- 2) One (1) page, single-sided resume for up to eight (8) alternative key project personnel stating relevant experience including dates of assignments and professional qualifications.
- 3) Allowance for one (1) page, if necessary, to explain the consultant's modified approach to the project if it would be handled differently as a result of utilizing the alternate personnel.

The Consultant shall not include alternate staffing in their Expression of Interest unless they are required to do so in accordance with Option C. If included in the EOI, the proposed alternative staffing information shall be contained in a separate section of the EOI. It shall only be considered by the Authority in the scoring of the EOI if required.

Anything in excess of the page limitations for each of the EOI criteria above will not be read or considered. If the EOI submitted is not in accordance with the specific provisions defined above, it shall be considered incomplete and may be rejected.

Expressions of Interest must be submitted no later than 2:00pm on January 22, 2018. Consultants will be fully responsible for the delivery of their EOIs. Reliance upon U.S. Mail or other carriers is at the Consultant's risk. Late EOIs will not be considered. EOIs hand delivered or delivered by an overnight delivery service shall be addressed to:

New Jersey Turnpike Authority 1 Turnpike Plaza Woodbridge, NJ 07095 Attn: Maintenance Department Daniel L. Hesslein, P.E.

Expressions of Interest transmitted via U.S. Mail should be addressed to:

New Jersey Turnpike Authority P.O. Box 5042 Woodbridge, NJ 07095-5042 Attn: Maintenance Department Daniel L. Hesslein, P.E.

#### Inquiries

Inquiries pertaining to this RFEOI are to be directed in writing to Daniel L. Hesslein, Senior Maintenance Engineer, New Jersey Turnpike Authority, P.O. Box 5042, Woodbridge, New Jersey 07095-5042. E-mail inquiries to <a href="https://newstate.nj.us">hesslein@turnpike.state.nj.us</a> are acceptable. The deadline for inquiries is January 3, 2018. The Authority will respond to all written inquiries received. Each inquiry will be stated and a written response provided. Responses will be posted on the Authority's Internet website at <a href="http://www.state.nj.us/turnpike/expressions-of-interests.html">http://www.state.nj.us/turnpike/expressions-of-interests.html</a> under "Professional Services" on or before January 10, 2018. Consultants will be responsible for submitting their EOIs in accordance with the RFEOI and any modifications, revisions and/or clarifications thereto as a result of the posted responses. Late inquiries may not be reviewed or considered.

#### **Consultant Selection**

A consultant selection will be made from the EOIs that are received on time and are deemed complete. A Review Committee will evaluate the technical qualifications and experience of each firm and its project team, and will rank the firms. The evaluation and ranking of the EOIs will serve as a method by which to create a short list of firms most highly qualified to perform the project, who will receive requests for fee proposals.

The EOIs will be evaluated and ranked on the basis of numerical scores resulting from pre-established weighted factors. For this project, the rating factors and their relative weights are:

RATING FACTORS	WEIGHT (%)	POINTS
Experience of the Firm on Similar Projects	15	45
Experience of the Project Manager on Similar Projects	15	45
Key Personnel's Qualifications and Relevant Experience	15	45
Understanding the Project and the Authority's Needs	15	45
Approach to the Project	15	45
Commitment and Ability to Perform the Project and Outstanding Work with the Authority	10	30
Commitment to Quality Management	10	30
Attainment of SBE Participation Goals	5	15
	100%	300

Following the review of the submitted EOIs, the Authority will request Fee Proposals from firms it deems the most qualified and will commence negotiations with such technically qualified firms in the order ranked. All respondents will be notified at the completion of the review process regarding their status.

All submittals required pursuant to P.L. 2005, Chapters 51 and 271 Executive Order 117 (2008) will be requested from the intended Awardee(s) only. This will include the combined CH. 51/EO 117 Two-Year Certification and Disclosure of Political Contributions form (CH 51.1 R1/21/2009), the P.L. 2005 c. 271 Vendor Certification and Political Contribution Disclosure Form (Rev: 02/07/2006 DPP c271 C&D) completed by each business entity all of which will be transmitted to the intended Awardee(s) by the Authority via mail or fax and are to be returned to the Authority within five (5) business days.

#### Order for Professional Services

Final OPS Documents shall consist of the Authority's Order for Professional Services (which is available on the Authority's website) and the selected firm's submitted EOI and Final Negotiated Fee Proposal.

Effective April 29, 2014 Consultants shall be required, at their own expense, to provide ALL insurance coverages as more fully set forth in the applicable OPS Agreement (see OPS Agreement #4).

#### **Traffic Permit**

Consultants are advised that the consultant must obtain (and have in its possession) a Traffic Permit, issued by the Authority's Operations Department, before the consultant will be allowed to enter the Authority's right-of-way to perform any professional services hereunder. The Traffic Permit Application may be downloaded from the Authority's internet website at <a href="http://www.state.nj.us/turnpike/">http://www.state.nj.us/turnpike/</a> and requires the consultant's signature agreeing to the Traffic Permit Indemnification and Waiver of Claims.

The OPS will not be entered into by the Authority unless the firm first provides proof of valid business registration in compliance with P.L. 2001, c.134 (N.J.S.A. 52:32-44). Pursuant to this law the firm is further notified that no subcontract shall be entered into by any firm under any OPS with the Authority unless the subconsultant first provides proof of valid business registration. Very truly yours,

ORIGINAL SIGNED BY

Kenneth J. McGoldrick, P.E. Director of Maintenance

Kennet Domish Shull

Attachments

c: D. Hesslein Review Committee File

#### **ATTACHMENTS**

to the

Request for Expressions of Interest

Dated December 20, 2017

for

Order for Professional Services No. A3681

On-Call Facility Engineering Services

#### TABLE OF CONTENTS

Section No.	Section Title
1	Dragualified and Elizible Consultants
1.	Prequalified and Eligible Consultants
<b>II.</b>	Administrative and Agreement Information
III.	OPS Procurement and Project Schedule
IV.	Scope of Services
V.	Compensation Basis
VI.	Small Business Enterprise Sub-Consulting Program
VII.	Equal Employment Opportunity Regulations (N.J.S.A.10:5-31 et seq. (P.L. 1975, C. 127) and N.J.A.C. 17:27)
VIII.	State Contractor Political Contributions Compliance (P.L. 2005, Chapter 51 and Executive Orders 134 and 117)
IX.	Set-Off for State Tax (N.J.S.A. 54:49-19)
X.	Office of State Comptroller Right to Audit (N.J.A.C. 17:44-2.2)
XI.	Source Disclosure Certification (N.J.S.A. 52:34-13.2, EO 129)
XII.	Disclosure of Investment Activities in Iran (N.J.S.A. 52:32-55 and N.J.S.A. 52:32-57)
XIII.	Antidiscrimination Provisions (N.J.S.A. 10:2-1)

# SECTION I Prequalified and Eligible Consultants

AECOM Technical Services
Dewberry Engineers Inc.
Gannett Fleming, Inc.
Greenman-Pedersen, Inc.
HAKS Engineers, Architects and Land
Surveyors, PC
HNTB Corporation
Jacobs Engineering Group Inc.
Johnson, Mirmiran & Thompson, Inc.
Michael Baker International, Inc.
SJH Engineering, P.C.
Stantec Consulting Services, Inc.
STV Incorporated
The Louis Berger Group, Inc.

# SECTION II Administrative and Agreement Information

#### **Professional Corporation**

Incorporated firms that have not filed a copy of a Certificate of Authorization, as required by N.J.S.A. 45: 8-56, with the Authority must include a copy of the Certificate with the EOI.

Professional service corporations established pursuant to the "Professional Service Corporation Act," P.L. 1969, c. 232 (N.J.S.A. 14A: 17-1 et seq.), are exempt from this requirement.

#### **Signatures**

Expressions of Interest, Technical Proposals and Fee Proposals must be signed by an officer of the Firm authorized to make a binding commitment.

#### **Incurring Costs**

The Authority shall not be liable for any costs incurred by any consultant in the preparation of their EOI.

#### Addendum to EOI Solicitations

If, at any time prior to receiving EOIs, it becomes necessary to revise any part of this EOI solicitation, or if additional information is necessary to enable a firm to make an adequate interpretation of the provisions of this EOI solicitation, an addendum to the EOI solicitation will be made available on the Authority's web-site as described herein.

#### Acceptance of EOIs

The Authority may award an OPS for these services to a firm that the Authority determines best satisfies the needs of the Authority. The solicitation for an EOI or Technical Proposal does not, in any manner or form, commit the Authority to award any OPS. The contents of the EOIs may become a contractual obligation, if, in fact, the EOI or Technical Proposal is accepted and an OPS is entered into with the Authority. Failure of a firm to adhere to and/or honor any or all of the obligations of this EOI - Technical Proposal may result in cancellation of any OPS awarded by the Authority.

#### Rejection of EOIs

The Authority shall not be obligated at any time to award an OPS to any consultant. The Authority reserves the right to reject any and all proposals or to negotiate with any proposer in accordance with applicable law.

#### **Final Contract**

Any OPS entered into with a selected Consultant shall be a contract that shall be satisfactory to the Authority in accordance with the laws of the State of New Jersey. It is understood that any OPS that may be awarded will be on the basis of a professional services agreement within the intent of the statutes and laws of the State of New Jersey, specifically N.J.S.A. 27:23-6.1.

#### Dissemination of Information

Information included in this document or in any way associated with this project is intended for use only by the Consultant and the Authority, and is to remain the property of the Authority. Under no circumstances shall any of said information be published, copied or used by the Consultant, except in replying to this EOI solicitation.

#### **News Releases**

No news releases pertaining to this RFEOI or the Project to which it relates shall be made without Authority approval and then only in coordination with the issuing office and the Authority's Media Relations Coordinator.

#### **Public Records**

Any EOI or Technical Proposal submitted by a firm under this EOI solicitation constitutes a public document that will be made available to the public upon request pursuant to New Jersey's Open Public Records Act, N.J.S.A. 47: 1A-1 et seq. The Consultant may request the Authority's General Counsel to deem certain sections of its EOI or Technical Proposal containing personal, financial or proprietary information non-disclosable, which determination shall be in accordance with such Act.

# Section III OPS Procurement and Project Schedule

Posted	. December 20, 2017
Deadline for Inquiries	. January 3, 2018
Posted Responses to Inquiries	. January 10, 2018
Submittal of Expressions of Interest	. January 22, 2018
Recommendation to Award OPS	. March 27, 2018
Notice to Proceed	. May 15, 2018
Administration Project Closeout	June 1, 2020

### SECTION IV Scope of Services

During the course of the term of the assignment, the Authority expects to need a variety of professional engineering services to be performed in a number of engineering specialties on an "on-call" basis. The services comprising each of the individual task assignments often will need to be performed on short notice and completed within a short time frame. The consultant must be willing to devote the necessary resources to satisfy the Authority's needs in this regard. The services furnished shall include but not be limited to the following items of work:

#### 1. Building Design and Renovation Services

Perform scope of work to include engineering and architectural services from concept through construction and permitting for all new and renovation projects as follows:

- Development and coordination of concept and concept plans. Meet with the Authority's
  Maintenance Department to discuss needs, requirements, and design or construction intent.
  Observing and investigation of existing field conditions. Concept alternatives to be prepared for NJTA review and input. The selected preferred alternative will then be further developed and submitted to NJTA for final comment before moving into a formal design phase submission schedule.
- Obtain and gather any and all as-built information and or perform topographic survey to serve
  as the basis of design and construction. All engineering, architectural, and building trades
  systems will be evaluated and investigated for renovation and compliance as necessary.
- Development and Preparation of Construction documents and compliance with Department of
  Community Affairs (DCA) requirements. Construction plans and project specific specifications
  will be developed and submitted for Phase C design. Phase C design documents shall be
  submitted to DCA for review and comment. At this time, a soil erosion and sediment control
  plan and required plans and documents to the State Historical Preservation Office (SHPO)
  shall be submitted to the local authority. Upon receiving DCA and NJTA comments
  development and final preparation of Phase D construction documents will be completed for
  contract advertisement to ensure transition from design phase to the construction phase of the
  project.
- Provide post design services for bid support, response to contractor questions (RFI's), shop drawing review process, assist value engineering analysis, and attend site meetings.
  - a. Bid support shall include issuing of addendums and/or change of plans (COP) documents to include additional minor scope items in the contract.
  - b. Written and verbal responses to contractor questions (RFI's) related to contract plans and specifications will be provided. Questions will be documented on a prepared form to assure a clear understanding and documentation of requests. Responses will be issued within 10 calendar days. Shop drawing review and approval shall be conducted throughout the construction phase of the project. Reviews will be completed no later than 10 calendar days after receipt from the construction manager. The team will review the contractor submittals and shop drawings as identified in the plans and specifications for conformance with the conformed contract documents.
  - Firm shall attend regularly scheduled project progress meetings assumed to be biweekly. Firm shall prepare minutes. On-site construction inspection services during

- construction may be requested to the meet with the resident engineer and address questions/issues during construction. The level of effort to be expended onsite will be assessed based upon specific site challenges and situations
- d. Cost savings analysis is anticipated to be a part of all projects. Firm shall be available for assistance in review and analysis of any and all proposed cost savings and/or substitutions from what is specified in the contract documents. Firm shall review contractor's proposals and provide recommendation to NJTA/resident engineer on acceptance. Advisement to NJTA/resident engineer as to any cost saving opportunities shall be included.
- Satisfy Authority Design and Procedures Manual submission requirements.
- Participate in regular Progress meetings with NJTA staff.
- Project Management and Oversight of Project Team.

#### 2. Construction Management and Inspection Services

Perform on-site contract and construction activity field services for various construction projects consistent with the following:

- In-house laboratory testing of on-site or imported fill materials intended for use as backfill, or general fill
- Resident Engineer Duties
- Verification of subgrades for general fill, foundations, and slabs
- Backfilling monitoring including placement and compaction procedures and the performance of in-place field density testing to verify compaction levels
- Concrete placement monitoring including casting of representative cylinders for compression testing and the performance of slump, temperature and air entrainment testing
- · Asphalt placement monitoring
- Office support, as required, including specifications review for technical standards required, project set-up, meeting attendance, and report preparation, and field report and data review and transmittal.
- Monitoring of pavement subgrades
- Additional laboratory testing services performed if requested

#### 3. Building Mechanical Systems Services

Provide design and post-design services related to the Authority's HVAC, Boiler and controls upgrade program as follows:

- Where directed, investigate, inspect, evaluate and document the existing HVAC and control system.
- Provide baseline testing and balancing services to establish existing equipment performance levels.
- Compare performance data with nameplate data and equipment schedule data to assess the viability of the equipment.
- Identify and propose corrections for any existing conditions (i.e. exhaust point locations, fresh
  air intakes, proximity to odor sources, effectiveness of equipment zoning, etc.) that adversely
  affect the HVAC system operation and performance.

- Determine the heating, ventilating and cooling performance requirements for each HVAC component and the overall system, including the evaluation of kitchen exhaust hood requirements where applicable.
- Evaluate the viability of repairing existing equipment that has been determined to be of sufficient capacity but operating poorly, in lieu of replacement based on condition, warranty and any additional pertinent variables.
- Where equipment is recommended to be replaced, identify any units that require increases/decreases in capacity.
- Evaluate if supplemental equipment is required for adding incremental ventilation, cooling or heating capacity.
- Analyze existing HVAC distribution infrastructure for any deficiencies.
- Prepare construction plans, specifications, cost estimates and permit applications (if required)
  in accordance with the latest Authority requirements.
- Assist the Authority in developing/maintaining 5 and 10 year capital improvement program for HVAC equipment and associated controls.
- Generate living control documentation including, but not limited to; HVAC floor/roof plan, single line ductwork diagrams, hot water/air flow diagrams, and HVAC controls diagram.
- Perform commissioning and retro-commissioning services on new and existing systems.

#### 4. Testing, Adjusting and Balancing Services

Provide TAB services related to the Authority's HVAC systems as follows:

- Obtain and gather any and all as-built information.
- Examine HVAC system and equipment installations to verify that test ports, gauge cocks, flowcontrol devices, balancing valves and fittings and manual volume dampers are installed and that their locations are accessible and appropriate for effective balancing and for efficient system and equipment operation.
- Examine systems for functional deficiencies that cannot be corrected by adjusting and balancing.
- Report deficiencies discovered before and during performance of TAB procedures and record default set points if different from indicated values.
- Perform testing and balancing procedures on each system according to the procedures contained in the current edition of the National Environmental Balancing Bureau (NEBB) Procedural Standards for Testing, Adjusting and Balancing of Environmental Systems.
- Mark equipment and balancing device settings (including damper-control positions, valve
  position indicators, fan-speed-control levers and similar controls and devices) with paint or
  other suitable permanent identification material to show final settings.
- The systems will be considered balanced in accordance with NEBB standards when the following conditions are satisfied:
  - All measured airflow and hydronic flow quantities are within =/- 10% of the design quantities unless there are reasons beyond the control of the NEBB certified TAB firm. Deficiencies shall be noted in the TAB report.
  - There is at least one direct path with fully open dampers from the fan to an air inlet or outlet. Additionally, if a system contains branch dampers, there will be at least one wide-open path downstream of every adjusted branch damper.
  - 3. There is at least one direct path with fully open balancing valves from the pump discharge balancing valve (if present) to a terminal device. Additionally, if a system

contains branch balancing valves, there will be at least one wide open path downstream of every adjusted branch balancing valve.

 The final report shall be in accordance with the requirements of the current edition of the NEBB Procedural Standard for the Testing, Adjusting and Balancing of Environmental Systems.

#### 5. Building Electrical System Services

Provide design and post-design services related to the Authority's building electrical systems program as follows:

- Obtain and gather any and all as-built information.
- Inspect existing diesel generators, power transfer equipment and electrical distribution equipment.
- Prepare load calculations including the existing load, via metering, connected to the automatic transfer switch (ATS) and any future loads that may be added (i.e. highway LED lighting loads).
- Prepare plans and specifications for interior and exterior lighting and electrical warrants.
- Prepare plans and specifications for installation of new natural gas generators as per Authority standards.
- Submit Phase "D" documents to the Department of Community Affairs (DCA) for review and approval. Prepare revised documents conforming to any comments for resubmission to the DCA.
- Coordinate with the local gas utility company to confirm the availability of natural gas service.
- Provide post design services including, but not limited to, responding to RFIs, Reviewing submittals and shop drawings and attending onsite progress meetings.

#### 6. Studies, Research and Evaluation Services

Provide professional services related to the Authority's Maintenance Department objectives as follows:

- Prepare professional reports in concert with the Authority's equipment purchasing plan
- Manpower and staffing assessments
- Optimization and Life Cycle Analysis
- Future technology implementation Studies
- Feasibility, implementation, and procedural review and evaluations

# SECTION V Compensation Basis

Effective February 28, 2011, the New Jersey Turnpike Authority will no longer issue Non-Revenue Toll Passes to consultants working on Authority projects. Also effective this date, the consultant will be responsible for paying all tolls.

Following a review of submitted Expressions of Interest, the Authority will request Fee Proposal(s) from the firm(s) it deems most qualified.

The Fee Proposal shall be submitted as a cost-plus fee, based on reimbursement of direct professional and technical salaries, except Corporate Officers, Partners, Owners and routine secretarial and clerical services, times a multiplier, not to exceed 2.5 for construction inspection services or 2.8 for design services, based on a 10% allowance for profit and an overhead rate of 127.3% or 154.5% respectively, the individual firm's overhead rate as determined by Federal Audit Regulation (FAR) procedures, whichever is less plus direct expenses and subconsultant services, at cost. The multiplier shall not be applied to the premium portion of overtime. When Corporate Officers, Partners, Owners and/or Principals are required to provide services in a technical capacity, the salaries for such services shall be reimbursable. The multiplier covers all overhead and profit. No expenses or costs shall be billed unless specifically included in this EOI Solicitation. For general services provided by Corporate Officers, Partners, Owners and/or Principals working in a non-technical capacity, no compensation will be provided.

The total authorized ceiling for the OPS is \$2,000,000. The maximum allowable value of an individual work task assignment (WRAF) is \$250,000.

Average rate per classification/grade will not be permitted to determine total labor costs. The Consultant shall list each individual proposed for the project and hourly pay rate. Until further notice, cost of living or merit increases will not be authorized; however, increases as a result of promotions will be considered.

Salaries shall be charged at the Consultant's hourly rates. The Consultant is responsible for managing the assignment, adhering to the number of hours, salary rates and personnel, as presented in the Expression of Interest and Fee Proposals. Individual standard and overtime rates must be approved by the Authority's Chief Engineer or the Chief Engineer's designated representative prior to commencement of work or whenever the Consultant proposes that an individual's rate be changed during the term of this OPS, provided such change is reflected in the Consultant's Fee Proposal. Except for overtime worked on construction supervision during permissible contract working hours, approval of overtime must be issued by the Authority.

Direct expenses shall include approved subconsultant services, mileage, test pits, Utility Work Orders, vendor invoiced printing of phase submission documents, final documents, Mylar's, final plans in .PDF format, meeting displays/exhibits, and permit application fees. Mileage will be paid at the prevailing rate. Mileage will be reimbursed for travel between the Consultant's local office and the project site, Turnpike Authority offices, and meetings required by the Authority or its representatives, including the return trip. Any change to this rate is subject to the approval of the New Jersey Turnpike Authority.

Expenses for lodging and meals will be paid in accordance with the Federal per diem rates which can be found at <a href="https://www.gsa.gov/perdiem">www.gsa.gov/perdiem</a>. Compensation for lodging and meals must be approved in advanced by the Authority, otherwise the Consultant will not be reimbursed for meals and lodging. This shall also apply to the Consultants subconsultants.

OPS No. A3681 On-Call Facility Engineering Services Page 18 of 30

Subconsultant services are those required services performed by other firms at the Consultant's direction. These services in excess of \$5,000 must be approved in advance by the Authority.

Overnight delivery charges will be paid by the Authority if said delivery is specifically requested by the Authority and agreed to in advance. Otherwise, the Consultant will not be reimbursed for overnight delivery charges if the Consultant elects to use such services for his convenience. This shall also apply to the consultant's subconsultants.

# SECTION VI NEW JERSEY TURNPIKE AUTHORITY SMALL BUSINESS ENTERPRISE SUBCONSULTING PROGRAM

It is the policy of the New Jersey Turnpike Authority (the "Authority") that Small Business Enterprises ("SBE") as determined and defined by the Division of Minority and Women Business Development ("Commerce Commission") and the Department of the Treasury ("Treasury") in N.J.A.C. 17:13-1.1. have the opportunity to compete for and participate in the performance of consultant services. The Authority is seeking participation of these SBEs for the issuance of certain Orders for Professional Services (OPS). Your Expression of Interest (EOI) must include a goal of awarding at least twenty-five (25%) percent of the total value of the OPS to subconsultants who are registered with the Division as a SBE. The Consultant must submit proof of its subconsultant's SBE registration. In the event that the Consultant cannot comply with the goal set forth above, prior to the time of the award, the Consultant must demonstrate to the Authority's satisfaction that a good faith effort was made to accomplish the above stated goal.

In order for the Authority to monitor and report SBE participation during the course of the OPS pursuant to N.J.A.C. 17:13-5.2, the consultant shall submit evidence of SBE participation in a form acceptable to the Authority, with each invoice for payment. Invoices for Payment submitted without the completed SBE Form will not be processed.

If the Consultant, for any reason, at any time during the course of the OPS, intends to make any additions, deletions or substitutions to the list of firms on the SBE form submitted to the Authority, the Consultant shall submit such proposed changes for approval. Any such proposed changes must comply with the requirements and procedures set forth herein.

Evidence of a "good faith effort" includes, but is not limited to:

- 1. Consultant shall request a listing of small businesses from the Division and the Authority and attempt to contact same:
- Consultant shall keep specific records of its efforts, including the names of businesses contacted and the means and results of such contacts, receipts from certified mail and telephone records;
- Consultant shall provide proof of solicitations of SBEs for their services, including advertisements in general circulation media, professional service publications and minority and women focus media;
- Consultant shall provide evidence of efforts made to identify work categories capable of being performed by SBEs:
- 5. Consultant shall provide all potential subconsultants with detailed information regarding the project description;
- 6. Consultant shall attempt, wherever possible, to negotiate lower prices with potential SBE subconsultants which submitted higher than acceptable fee estimates; and
- Efforts made to use the services of available community organizations, consultant groups, and local, state and federal agencies that provide assistance in the recruitment and placement of SBEs.

Consultant shall maintain adequate records to document their efforts and will provide same to the Authority upon request.

# SECTION VII EQUAL EMPLOYMENT OPPORTUNITY REGULATIONS N.J.S.A. 10:5-31 et seq. (P.L. 1975, C. 127) And N.J.A.C. 17:27 GOODS, PROFESSIONAL SERVICE AND GENERAL SERVICE CONTRACTS

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Except with respect to affectional or sexual orientation and gender identity or expression, the contractor will ensure that equal employment opportunity is afforded to such applicants in recruitment and employment, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Such equal employment opportunity shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this nondiscrimination clause.

The contractor or subcontractor, where applicable will in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex.

The contractor or subcontractor will send to each labor union, with which it has a collective bargaining agreement, a notice, to be provided by the agency contracting officer, advising the labor union of the contractor's commitments under this chapter and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to make good faith efforts to meet targeted county employment goals established in accordance with N.J.A.C. 17:27-5.2.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, and labor unions, that it does not discriminate on the basis of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

OPS No. A3681 On-Call Facility Engineering Services Page 21 of 30

In conforming with the targeted employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

Letter of Federal Affirmative Action Plan Approval

Certificate of Employee Information Report

Employee Information Report Form AA302 (electronically provided by the Division and distributed to the public agency through the Division's website at <a href="https://www.state.nj.us/treasury/contract\_compliance">www.state.nj.us/treasury/contract\_compliance</a>).

The contractor and its subcontractors shall furnish such reports or other documents to the Division of Public Contracts Equal Employment Opportunity Compliance as may be requested by the office from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Public Contracts Equal Employment Opportunity Compliance for conducting a compliance investigation pursuant to <u>Subchapter 10 of the Administrative Code at N.J.A.C.17:27</u>.

# SECTION VIII <u>State Contractor Political Contributions Compliance</u> Public Law 2005, Chapter 51, Executive Order 134 and Executive Order 117

In order to safeguard the integrity of State government procurement by imposing restrictions to insulate the award of State contracts from political contributions that pose the risk of improper influence, purchase of access, or the appearance thereof, Executive Order 134 was signed on September 22, 2004 ("EO 134"). The Order is applicable to all State agencies, the principal departments of the executive branch, any division, board, bureau, office, commission within or created by a principal executive branch department, and any independent State authority, board, commission, instrumentality or agency. Executive Order 134 was superseded by Public Law 2005, c.51, signed into law on March 22, 2005. In September 2008, Executive Order 117 was signed and became effective November 15, 2008. It applies to the same government contracting entities subject to Executive Order 134, but extends the political contribution restrictions by expanding the definition of "business entity" to include, for example, more corporate shareholders and sole proprietors. Executive Orders 134 and 117, and Public Law 2005, c.51 contain restrictions and reporting requirements that will necessitate a thorough review of the provisions. Pursuant to the requirements of PL 2005, c.51, the terms and conditions set forth in this section are material terms of any OPS resulting from this RFEOI or RFP:

#### **DEFINITIONS**

For the purpose of this section, the following shall be defined as follows:

- a) Contribution means a contribution reportable as a recipient under "The New Jersey Campaign Contributions and Expenditures Reporting Act." P.L. 1973, c. 83 (C.19:44A-1 et seq.), and implementing regulations set forth at N.J.A.C. 19:25-7 and N.J.A.C. 19:25-10.1 et seq. Through December 31, 2004, contributions in excess of \$400 during a reporting period were deemed "reportable" under these laws. As of January 1, 2005, that threshold was reduced to contributions in excess of \$300.
- b) Business Entity means any natural or legal person; business corporation (and any officer, person, or business entity that owns or controls 10% or more of the corporation's stock); professional services corporation (and any of its officers or shareholders); limited liability company (and its members); general partnership (and its partners); limited partnership (and its partners); in the case of a sole proprietorship: the proprietor; a business trust, association or any other legal commercial entity organized under the laws of New Jersey or any other state or foreign jurisdiction, including its principals, officers, or partners. The definition of a business entity also includes (i)all principals who own or control more than 10 percent of the profits or assets of a business entity; (ii)any subsidiaries directly or indirectly controlled by the business entity; (iii)any political organization organized under section 527 of the Internal Revenue Code that is directly or indirectly controlled by the business entity, other than a candidate committee, election fund, or political party committee; and (iv) if a business entity is a natural person, that person's spouse or child, residing in the same household.

#### BREACH OF TERMS OF THE LEGISLATION

It shall be a breach of the terms of the contract for the Business Entity to (i)make or solicit a contribution in violation of the Legislation, (ii)knowingly conceal or misrepresent a contribution given or received; (iii)make or solicit contributions through intermediaries for the purpose of concealing or misrepresenting the source of the contribution; (iv)make or solicit any contribution on the condition or with the agreement that it will be contributed to a campaign committee or any candidate of holder of the public office of Governor, or to any State or county party committee; (v)engage or employ a lobbyist or consultant with the intent or understanding that such lobbyist or consultant would make or solicit any contribution, which if made or solicited by the business entity itself, would subject that entity to the restrictions of the Legislation; (vi)fund contributions made by third parties, including consultants, attorneys, family members, and employees; (vii)engage in any exchange of contributions to circumvent the intent of the Legislation; or (viii)directly or indirectly through or by any other person or means, do any act which would subject that entity to the restrictions of the Legislation.

#### CERTIFICATION AND DISCLOSURE REQUIREMENTS

- a) The Authority shall not enter into a contract to procure from any Business Entity services or any material, supplies or equipment, or to acquire, sell or lease any land or building, where the value of the transaction exceeds \$17,500, if that Business Entity has solicited or made any contribution of money, or pledge of contribution, including in-kind contributions to a candidate committee and/or election fund of any candidate for or holder of the public office of Governor, or to any State, county or municipal political party committee, or legislative leadership committee during specified time periods.
- b) Prior to the award of any contract or agreement, the intended Awardee shall submit the Certification and Disclosure form, certifying that no contributions prohibited by the Legislation have been made by the Business Entity and reporting all contributions the Business Entity made during the preceding four years to any political organization organized under 26 U.S.C.527 of the Internal Revenue Code that also meets the definition of a "continuing political committee" within the means of N.J.S.A. 19:44A-3(n) and N.J.A.C. 19:25-1.7. Failure to submit the required forms will preclude award of a contract under this RFP, as well as future contract opportunities.
- c) Further, the Contractor is required, on a continuing basis, to report any contributions it makes during the term of the contract, and any extension(s) thereof, at the time any such contribution is made.

#### STATE TREASURER REVIEW

The State Treasurer or his designee shall review the Disclosures submitted pursuant to this section, as well as any other pertinent information concerning the contributions or reports thereof by the intended awardee, prior to award, or during the term of the contract, by the contractor. If the State Treasurer determines that any contribution or action by the contractor constitutes a breach of contract that poses a conflict of interest in the awarding of the contract under this solicitation, the State Treasurer shall disqualify the Business Entity from award of such contract.

#### ADDITIONAL DISCLOSURE REQUIREMENT OF P.L. 2005, C. 271

Contractor is advised of its responsibility to file an annual disclosure statement on political contributions with the New Jersey Election Law Enforcement Commission (ELEC), pursuant to P.L. 2005, c. 271, section 3 if the contractor receives contracts in excess of \$50,000 from a public entity in a calendar year. It is the contractor's responsibility to determine if filing is necessary. Failure to so file can result in the imposition of financial penalties by ELEC. Additional information about this requirement is available from ELEC at 888-313-3532 or at www.elec.state.nj.us.

#### ADDITIONAL DISCLOSURE REQUIREMENT OF P.L. 2005, C. 51 (EXECUTIVE ORDER NO. 117)

Executive Order No. 117 (Corzine 2008) is designed to enhance New Jersey's efforts to protect the integrity of government contractual decisions and increase the public's confidence in government. The Executive Order builds on the provisions of P.L. 2005, c. 51 ("Chapter 51"), which limits contributions to certain political candidates and committees by for-profit business entities that are, or seek to become, State government vendors.

Executive Order No. 117 extends the provisions of Chapter 51 in two ways:

- 1. The definition of "business entity" is revised and expanded so that contributions by the following individuals also are considered contributions attributable to the business entity:
  - Officers of a corporation, any person or business entity who owns or controls 10% or more of the
    corporation's stock, and professional services corporations, including any officer or shareholder, with
    the term "officer" being defined in the same manner as in the regulations of the Election Law
    Enforcement Commission regarding vendor disclosure requirements (N.J.A.C. 19:25-26.1), with the
    exception of officers of non-profit entities;
  - Partners of general partnerships, limited partnerships, and limited liability partnerships and members of limited liability companies (LLCs), with the term "partner" being defined in the same manner as in the regulations of the Election Law Enforcement Commission regarding vendor disclosure requirements (N.J.A.C. 19:25-26.1);
  - In the case of a sole proprietorship: the proprietor; and
  - In the case of any other form or entity organized under the laws of this State or any other state or foreign jurisdiction: the entity and any principal, officer, and partner thereof;
  - Spouses, civil union partners, and resident children of officers, partners, LLC members, persons
    owning or controlling 10% or more of a corporation's stock, all shareholders of a professional services
    corporation, and sole proprietors are included within the new definition, except for contributions by
    spouses, civil union partners, or resident children to a candidate for whom the contributor is eligible to
    vote or to a political party committee within whose jurisdiction the contributor resides.

 Reportable contributions (those over \$300.00 in the aggregate) to legislative leadership committees, municipal political party committees, and candidate committees or election funds for Lieutenant Governor are disqualifying contributions in the same manner as reportable contributions to State and county political party committees and candidate committees or election funds for Governor have been disqualifying contributions under Chapter 51.

Executive Order No. 117 applies only to contributions made on or after November 15, 2008, and to contracts executed on or after November 15, 2008.

Only the intended Awardee will be required to submit the required P.L. 2005 c. 51/EO 117 and P.L., 2005, c. 271 forms. The **combined** Chapter 51/EO 117 form and the Chapter 271 form are available on the Department of Treasury Division of Purchase and Property's website at: http://www.state.nj.us/treasury/purchase/forms.htm.

#### SECTION IX Set-Off for State Tax (N.J.S.A. 54:49-19)

Please be advised that pursuant to P.L. 1995. C. 159, effective January 1, 1996 and notwithstanding any provision of the law to the contrary, whenever any taxpayer, partnership, or S corporation under contract to provide goods or services or construction projects to the State of New Jersey or its agencies or instrumentalities, including the legislative and judicial branches of State government, is entitled to payment for those goods or services or construction projects and at the same time the taxpayer, or the partner or shareholder of that entity, is indebted for any State tax, the Director of the Division of Taxation shall seek to set-off that taxpayer's, partner's or shareholder's share of the payment due to the taxpayer, partnership, or S corporation. The amount of set-off shall not allow for the deduction of any expenses or other deductions which might be attributable to a partner or shareholder subject to set-off under this act. No payment shall be made to the taxpayer, the provider of goods or services or the contractor or subcontractor of construction projects pending resolution of the indebtedness.

The Director of Division of Taxation shall give notice to the set-off to the taxpayer, the provider of goods or services, or the contractor or subcontractor of construction projects and provide an opportunity for a hearing with thirty (30) days such notice under the procedures for protests established under R.S. 54:49-18. No requests for conference, protest or subsequent appeal to the Tax Court from any protest under this section shall stay the collection of the indebtedness. Interest that may be payable by the State pursuant to P.L. 1987, c. 184 (c.582:32-32et seq.) to the taxpayer, the provider of goods or services, or the contractor or subcontractor of construction projects shall be stayed.

#### SECTION X Right to Audit

Pursuant to N.J.A.C. 17:44-2.2, authority to audit or review contract records:

- (a) Relevant records of private vendors or other persons entering into contracts with covered entities are subject to review by the Office of the State Comptroller (OSC) pursuant to N.J.S.A. 52:15C-14(d).
- (b) As of November 15, 2010, the Consultant (contract partner) shall maintain all documentation related to products, transactions or services under this contract for a period of five years from the date of final payment. Such records shall be made available to the New Jersey Office of the State Comptroller upon request.

# SECTION XI Source Disclosure Certification

Pursuant to N.J.S.A. 52:34-13.2 (EO 129 (2004)), the Authority must consider the requirements of New Jersey's contracting laws, the best interests of the State of New Jersey and its citizens, as well as applicable federal and international requirements.

The Authority shall insure that all Firms seeking to enter into any contract in which services are procured on its behalf must disclose:

- a. The location by country where the services under contract will be performed;
- b. Any subcontracting of services under the contract and the location by country where the subcontracted services will be performed.

This information must be disclosed on the Vendor Source Disclosure Form – N.J.S.A. 52:34-13.2 (EO 129 (2004)), which is available on the authority's website at: <a href="http://www.state.nj.us/turnpike/documents/vendor-disclosure-form.pdf">http://www.state.nj.us/turnpike/documents/vendor-disclosure-form.pdf</a> and returned with your firm's Expression of Interest (EOI).

# SECTION XII <u>Disclosure of Investment Activities in Iran</u>

In accordance with N.J.S.A. 52:32-55 (P.L. 2012, c.25) all parties seeking to enter into an agreement with the Authority to certify that it is not providing goods or services of \$20,000,000 or more in the energy sector of Iran. Each Proposer (and all Subconsultants) shall return to the Authority a completed and dated form entitled "Disclosure of Investment Activities in Iran" which is available on the Authority's website at: http://www.state.nj.us/turnpike/documents/Disclosure-of-Investment-Activities-in-Iran.pdf with your firm's Expression of Interest (EOI). Failure to include the completed and signed form shall be grounds for rejection of Proposer's Expression of Interest (EOI).

Pursuant to N.J.S.A. 52:32-57, any person or entity that is on the list of entities that are doing business with Iran is ineligible to and shall not bid on or submit a proposal for a contract with the Authority.

## SECTION XIII Antidiscrimination Provisions

In accordance with N.J.S.A. 10:2-1 every contract for or on behalf of the State or any county or municipality or other political subdivision of the State, or any agency of or authority created by any of the foregoing, for the construction, alteration or repair of any public building or public work or for the acquisition of materials, equipment, supplies or services shall contain provisions by which the contractor agrees that:

- a. In the hiring of persons for the performance of work under this contract or any subcontract hereunder, or for the procurement, manufacture, assembling or furnishing of any such materials, equipment, supplies or services to be acquired under this contract, no contractor, nor any person acting on behalf of such contractor or subcontractor, shall, by reason of race, creed, color, national origin, ancestry, marital status, gender identity or expression, affectional or sexual orientation or sex, discriminate against any person who is qualified and available to perform the work to which the employment relates;
- b. No contractor, subcontractor, nor any person on his behalf shall, in any manner, discriminate against or intimidate any employee engaged in the performance of work under this contract or any subcontract hereunder, or engaged in the procurement, manufacture, assembling or furnishing of any such materials, equipment, supplies or services to be acquired under such contract, on account of race, creed, color, national origin, ancestry, marital status, gender identity or expression, affectional or sexual orientation or sex;
- c. There may be deducted from the amount payable to the contractor by the contracting public agency, under this contract, a penalty of \$ 50.00 for each person for each calendar day during which such person is discriminated against or intimidated in violation of the provisions of the contract; and
- d. This contract may be canceled or terminated by the contracting public agency, and all money due or to become due hereunder may be forfeited, for any violation of this section of the contract occurring after notice to the contractor from the contracting public agency of any prior violation of this section of the contract.